

Practitioner's Docket No. 2001DE307/D

**PATENT**

Preliminary Classification:  
Proposed Class:  
Subclass:

22388 U.S. PTO  
10/677412

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Mail Stop Patent Application  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

**NEW APPLICATION TRANSMITTAL**

Transmitted herewith for filing is the patent application of

**Inventor(s): Andreas MEUDT, Michael ERBES and Klaus FORSTINGER**

**For (title): Preparation of Substituted Aromatic Compounds**

**1. Type of Application**

This transmittal is for a divisional application under 37 CFR Section 1.53(b).

**2. Benefit of Prior U.S. Application (35 U.S.C. Sections 119(e), 120, or 121)**

The new application being transmitted claims the benefit of prior U.S. application SN 10/171,444 which was filed on June 13, 2002.

**3. Papers Enclosed**

**CERTIFICATION UNDER 37 CFR 1.10**

Express Mail Label Number: EU883124149US

Date of Mailing: October 02, 2003

I hereby certify that on the date indicated above, this new U.S. patent application and the papers indicated as enclosed therein, is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" addressed to: Commissioner for Patents, Mail Stop Patent Application, P. O. Box 1450, Alexandria, VA 22313-1450, in accordance with 37 CFR 1.10.

Signature of Person Mailing the Application

Vicki L. Sgro

Typed Name of Person Mailing the Application

*\* Only the date of filing (§ 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under § 1.8 continues to be taken into account in determining timeliness. See § 1.703(f). Consider "Express Mail Post Office to Addressee" (§ 1.10) or facsimile transmission (§ 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.*

New Application Transmittal-

**A.** Required for filing date under 37 C.F.R. 1.53(b) (Regular) or 37 C.F.R. 1.153 (Design)  
Application

**17 Pages of Specification**  
**5 Pages of Claims**  
**0 Sheet of Drawing--Formal**

**B.** Other Papers Enclosed

**2 Pages of declaration and power of attorney**  
**2 Page of abstract**  
**12 Pages of Preliminary Amendment**  
**1 Page Certification Under 37 CFR 1.10**

**4. Declaration or Oath**

Copy of the executed Declaration filed in SN: 10/171,444 is enclosed.

**5. Inventorship Statement**

The inventorship for all the claims in this application is the same.

**6. Language**

English

**7. Assignment**

The parent application is assigned to Clariant GmbH and was recorded by the USPTO on June 13, 2002, Reel/Frame: 013012/0677. Also, recordation regarding the present divisional application is being submitted herewith on October 02, 2003, along with an Assignment Recordation Transmittal.

**8. Fee Calculation (37 C.F.R. Section 1.16)**  
Regular Application

CLAIMS AS FILED					
Claims	Number Filed	Basic Fee Allowance	Number Extra	Rate	Basic Fee 37 CFR 1.16(a) \$770.00
Total Claims (37 CFR 1.16(c))	20	- 20 =	0 x	\$18.00	\$00.00
Independent Claims (37 CFR 1.16(b))	1	- 3 =	0 x	\$86.00	\$0.00
Multiple Dependent Claim(s), if any (37 CFR 1.16(d))			+	\$290.00	\$0.00

Filing Fee Calculation \$770.00

Fee for filing Recordation of Assignment \$ 40.00

**9. Fee Payment Being Made at This Time**

Enclosed

Filing Fee \$810.00

**Total Fees Enclosed \$810.00**

**10. Method of Payment of Fees**

Charge Account No. 03-2060 in the amount of \$810.00.

A duplicate of this transmittal is attached.

**11. Authorization to Charge Additional Fees**

The Commissioner is hereby authorized to charge the following additional fees by this paper and during the entire pendency of this application to Account No. 03-2060.

37 C.F.R. Section 1.16(a), (f) or (g) (filing fees)

**12. Instructions as to Overpayment**

Credit Account No. 03-2060.

**13. Relate Back**

Amend the specification by inserting, before the first line, the following sentence:

This application is a Divisional application of co-pending Application Serial No. 10/171,444 filed on June 13, 2002, the contents of which is hereby incorporated by reference.

**14. Relate Back--35 U.S.C. Section 119 Priority Claim for Prior Application**

The prior U.S. application, including any prior International Application designating the U.S., identified above in item 13, in turn itself claims foreign priority as follows:

Country: Germany

Application No.: 101 29 765.3 filed on June 20, 2001

The certified copy of the priority document has been received and acknowledged on July 2, 2003 in the Notice of Allowability.

**15. Maintenance of Copendency of Prior Application**

A. The Parent application SN 10/171,444 was allowed on June 20, 2003, and the issue fee was paid by facsimile on October 02, 2003.

**16. Further Inventorship Statement Where Benefit of Prior Application(s) Claimed**

a. This application discloses and claims only subject matter disclosed in the prior application whose particulars are set out above and the inventors in this application are the same.

17. Also enclosed is an Information Disclosure Statement with PTO Form 1449.

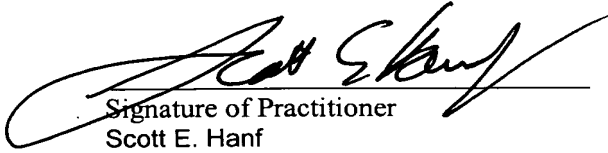
Express Mail Label Number: EU883124149US

Date: October 02, 2003

Reg. No.: 38,906

Tel. No.: 704-331-7140

Customer No.: 25,255

A handwritten signature in black ink, appearing to read "Scott E. Hanf", written over a horizontal line.

Signature of Practitioner

Scott E. Hanf

Clariant Corporation

Industrial Property Department

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Charlotte, North Carolina 28205

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